IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney Docket No.: 102286.410)

Applicant(s):

Jakobsen, et al.

Serial No.:

09/334,969

Filed:

June 17, 1999

For:

Multivalent T Cell Receptor Complexes

Group Art Unit:

1644

Examiner:

M. DiBrino

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**CERTIFICATE UNDER 37 C.F.R. 1.8(a)** 

I hereby certify that this correspondence is being as first class mail in an envelope addressed to the Commissioner

for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date set forth/below.

Date

Name

TRANSMITTAL LETTER

Commissioner:

Enclosed for filing are the following documents:

- 1.) Information Disclosure Statement;
- 2.) Form PTO-1449, including 11 documents; and
- 3.) Return Postcard.

The Commissioner is hereby authorized to charge any fees necessary to maintain the pendency of this application to Deposit account No. <u>08-0219</u>.

Respectfully submitted,

Date: Jan. 21, 2005

Michael J. Twomey

Reg. No. 38,349

Wilmer Cutler Pickering Hale and Dorr LLP

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Boston, Massachusetts 02109

Tel: (617) 526-6190 Fax: (617) 526-5000

Subt. For, PTO-1449

# INFORMATION DISCLOSURE IN AN APPLICATION

Docket Number 102286.410 Application Number 09/334,969

Applicant

(Use several sheets if necessary)

Jakobsen, et al.

 Group Art Unit 1644

|                     | U.S. Patent Documents |                              |                                                        |                                                                                 |  |
|---------------------|-----------------------|------------------------------|--------------------------------------------------------|---------------------------------------------------------------------------------|--|
| Examiner<br>Initial | . Document Number     | Publn.<br>Date<br>mm-dd-yyyy | Name Of Patentee Or<br>Applicant Of Cited<br>Documents | Pages, Columns, Lines, Where<br>Relevant Passages Or Relevant<br>Figures Appear |  |
|                     | 5,216,132             | 06/1993                      | Basi                                                   |                                                                                 |  |
|                     | 5,328,985             | 07/1994                      | Takeshi                                                |                                                                                 |  |
|                     | 5,968,511             | 10/1999                      | Akita et al.                                           |                                                                                 |  |
|                     | 2002/004521           | 04/2002                      | Schendel                                               |                                                                                 |  |
|                     | 5,776,746             | 07/1998                      | Denney                                                 |                                                                                 |  |
|                     | 10/014,326            | 11/2001                      | Jakobsen, et al.                                       |                                                                                 |  |
|                     | 09/732,716            | 11/2000                      | Jakobsen, et al.                                       |                                                                                 |  |
|                     | 09/723,665            | 11/2000                      | Jakobsen, et al.                                       |                                                                                 |  |
|                     | 09/335,087            | 06/1999                      | Jakobsen, et al.                                       |                                                                                 |  |
|                     |                       |                              |                                                        |                                                                                 |  |
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| Foreign Patent Documents |             |                                                   |                           |         |                                                                                 |
|--------------------------|-------------|---------------------------------------------------|---------------------------|---------|---------------------------------------------------------------------------------|
| Examiner<br>Initial      | Cite<br>No. | Foreign Patent Document Country Code-Number- Kind | Publn. Date<br>mm-dd-yyyy | Country | Pages, Columns, Lines, Where<br>Relevant Passages Or Relevant<br>Figures Appear |
|                          |             | 96 21028                                          | 07/1996                   | WO      |                                                                                 |
|                          |             |                                                   |                           |         |                                                                                 |

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DATE CONSIDERED

EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.

Subt. For, PTO-1449

## INFORMATION DISCLOSURE IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 102286.410 Application Number 09/334,969

Applicant

Jakobsen, et al.

Filing Date

Group Art Unit

Sheet

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OF

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6/17/99

1644

| Examiner's<br>Initial                  | Other-Documents (Including Author, Title, Date Pertinent Pages, Etc.) |                                                                               |  |
|----------------------------------------|-----------------------------------------------------------------------|-------------------------------------------------------------------------------|--|
|                                        | 1                                                                     | Arcone, Eur. J. Biochem., 198(3): 541-547 (1991)                              |  |
|                                        | 2                                                                     | Curti, Crit., Rev. in Oncology/Hematology, 14: 29-39 (1993)                   |  |
| 3 Gura, Science, 278: 1041-1042 (1997) |                                                                       | Gura, Science, 278: 1041-1042 (1997)                                          |  |
|                                        | 4                                                                     | Hartwell et al., Science, 278: 1064-1068 (1997)                               |  |
|                                        | 5                                                                     | Jain, Science Am., 271: 58-65 (1994)                                          |  |
|                                        | 6                                                                     | Pecorari et al., <i>Jour. Molecular Biol.</i> , 285: 1831-1834 (1999)         |  |
|                                        | 7                                                                     | Sally, Ward E., <i>Ther. Immunology</i> , 335-346 (1996)                      |  |
|                                        | 8                                                                     | Sambrock et al., <i>Molecular Cloning</i> , 2 <sup>nd</sup> ed., 17-37 (1989) |  |
|                                        | 9                                                                     | Wulfing et al., The Immunologist, 3: 59-66 (1995)                             |  |
|                                        | 10                                                                    | Janeway, et al, Immunology 1999, page 148                                     |  |

**EXAMINER** 

DATE CONSIDERED

EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.



#### **PATENT**

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| <b>CERTIFICATE UNDER 3</b> | 37 C.F.R. 1.8(a) |
|----------------------------|------------------|
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I hereby certify that this correspondence is being as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date set forth below.

Date

Name:

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

#### Commissioner:

Applicants and their legal representatives hereby make of record on the attached PTO Form-1449 the following publications, which are known to them and considered warranting disclosure under 37 C.F.R. §1.56 and hereby submit these documents for the Examiner's consideration. Copies of the cited patent references are not enclosed as the requirement under 37 CFR 1.98(a)(2)(i) has been waived.

It is respectfully requested that the information above be expressly considered during the prosecution of this application and that the publications be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In this regard, it is requested that the Examiner initial and return a copy of the enclosed Form PTO-1449 with the next Patent Office Communication.

This submission does not represent that a search has been made and does not constitute an admission that the listed documents are material to patentability or that the listed documents are prior art.

If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

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U.S.S.N. 09/334,969 Jakobsen, et al.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

This Information Disclosure Statement is being filed after the mailing date of a first Office Action on the merits; therefore, the Commissioner is hereby to authorize to charge the \$180.00 fee pursuant to 37 CFR §1.17(p) to Deposit Account No. 08-0219.

The Commissioner is hereby authorized to charge any fees necessary to maintain the pendency of this application to Deposit Account No. <u>08-0219</u>.

Respectfully submitted,

Date: Jan. 21, 20

Michael J. Twomey

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